

## **Triad Advisors, Inc. and Financial Services Institute Go To Washington**

**Norcross, GA, October 11, 2011, 8:00AM:** On October 5<sup>th</sup>, Michael Bryan, Mark Mettelman, Chet Payne and Jeff Rosenthal of Triad Advisors, Inc. lead a grassroots effort on Capitol Hill with the goal of preserving Americans' access to affordable, unbiased financial advice. Along with Triad affiliated advisors and members of FSI, they met with over 265 members of congress who serve House and Senate committees important to the investment community including the Senate Banking Committee, Senate Health, Education, Labor, and Pensions Committee, House Financial Services Committee and House Education and Workforce Committee.

“There was a tremendous amount of value generated by sitting down with the members of Congress and their staff to discuss what Americans need in the form of financial advice for retirement and how Congressional actions will impact that ability to deliver this much needed advice,” said Jeff Rosenthal, Senior Vice President, Chief Marketing Officer. Mark Mettelman, President and CEO added, “The impact this change of rules would have on investors if the DOLs definition of Fiduciary was put into place would devastate Middle America as the average size of an IRA is less than \$30,000. If we don't speak up, no one will.”

Other topics discussed while in Washington revolved around Dodd-Frank and a new proposal circulated by Chairman Bachus (AL) recommending FINRA become the SRO under the SEC providing oversight to both Registered Reps and Registered Investment Advisors.

The independent financial advisor members of FSI serve more than 15 million American households. With renewals approaching, we highly recommend you renew your membership, become a member, and get involved in the grassroots effort to affect change in Washington. The consistent message that was taken away by our group in attendance was – Washington is listening, letters are read, and our opinion does matter.

### **About Triad Advisors:**

Triad Advisors, a subsidiary of Ladenburg Thalmann Financial Services Inc., is an independent broker/dealer and Registered Investment Advisor headquartered in Norcross, GA. Triad offers a broad menu of products, services and total wealth management solutions to over 500 independent financial advisors located throughout the country. The advisors affiliated with Triad are dedicated to providing superior service and objective financial advice in order to help their clients achieve their financial goals. As the broker/dealer, Triad provides back office solutions to support advisors in all aspects of their practice, including customer service, account processing, compliance, products and technology. Since its inception in 1998, Triad has been one of the fastest growing broker/dealers in the industry recognized in numerous industry publications. For more information, please visit [www.com](http://www.com).

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