

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

**TR1
TRIAD ADVISORS INC.
5185 PEACHTREE PARKWAY
SUITE 280
NORCROSS GA 30092**

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2009

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	80.54%
Limit orders as percentage of total non-directed orders	9.45%
Other orders as percentage of total non-directed orders	10.01%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	31.95%
2. UBS Securities, L.L.C.	18.64%
3. Automated Trading Desk Financial Services, L.L.C.	18.20%
4. Citadel Derivatives Group LLC	12.39%
5. National Financial Services, L.L.C.	11.15%
6. Citigroup Global Markets, Inc.	5.50%
7. Goldman, Sachs & Co.	0.86%
8. The Chicago Board Options Stock Exchange	0.47%
9. BATS Trading, Inc.	0.28%
10. Direct Edge ECN L.L.C.	0.24%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.60%
Limit orders as percentage of total limit orders	31.19%
Other orders as percentage of total other orders	43.52%

2. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.38%
Limit orders as percentage of total limit orders	20.30%
Other orders as percentage of total other orders	11.13%

3. Automated Trading Desk Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	15.28%
	Limit orders as percentage of total limit orders	18.36%
	Other orders as percentage of total other orders	41.55%
4. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	13.30%
	Limit orders as percentage of total limit orders	13.73%
	Other orders as percentage of total other orders	3.80%
5. National Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	12.78%
	Limit orders as percentage of total limit orders	9.10%
	Other orders as percentage of total other orders	0.00%
6. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	6.76%
	Limit orders as percentage of total limit orders	0.60%
	Other orders as percentage of total other orders	0.00%
7. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.05%
	Limit orders as percentage of total limit orders	0.15%
	Other orders as percentage of total other orders	0.00%
8. The Chicago Board Options Stock Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.58%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. BATS Trading, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	2.99%
	Other orders as percentage of total other orders	0.00%
10. Direct Edge ECN L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	2.54%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	77.67%
Limit orders as percentage of total non-directed orders	12.91%
Other orders as percentage of total non-directed orders	9.42%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	28.62%
2. UBS Securities, L.L.C.	22.76%
3. Citadel Derivatives Group LLC	20.67%
4. National Financial Services, L.L.C.	10.69%
5. Automated Trading Desk Financial Services, L.L.C.	8.08%
6. Citigroup Global Markets, Inc.	5.11%
7. NYSE Arca	1.19%
8. Goldman, Sachs & Co.	1.11%
9. The Chicago Board Options Stock Exchange	0.87%
10. Direct Edge ECN L.L.C.	0.51%

Information Concerning Significant Venues :

1. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.50%
Limit orders as percentage of total limit orders	26.38%
Other orders as percentage of total other orders	49.16%

2. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.09%
Limit orders as percentage of total limit orders	17.79%
Other orders as percentage of total other orders	26.89%

3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.90%
Limit orders as percentage of total limit orders	15.34%
Other orders as percentage of total other orders	1.26%

4. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.42%
Limit orders as percentage of total limit orders	14.11%
Other orders as percentage of total other orders	0.00%

5. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.47%
Limit orders as percentage of total limit orders	7.36%
Other orders as percentage of total other orders	22.27%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.96%
Limit orders as percentage of total limit orders	3.68%
Other orders as percentage of total other orders	0.00%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	9.20%
Other orders as percentage of total other orders	0.00%

8. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.43%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. The Chicago Board Options Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.12%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	3.99%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	67.63%
Limit orders as percentage of total non-directed orders	7.26%
Other orders as percentage of total non-directed orders	25.11%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	33.09%
2. Automated Trading Desk Financial Services, L.L.C.	20.62%
3. UBS Securities, L.L.C.	18.69%
4. Citadel Derivatives Group LLC	16.41%
5. National Financial Services, L.L.C.	9.39%
6. NYSE Arca	0.72%
7. Other	0.56%
8. Domestic Securities Inc.	0.24%
9. BATS Trading, Inc.	0.16%
10. The Chicago Board Options Stock Exchange	0.08%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.28%
Limit orders as percentage of total limit orders	40.33%
Other orders as percentage of total other orders	49.36%

2. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.41%
Limit orders as percentage of total limit orders	10.50%
Other orders as percentage of total other orders	21.41%

3. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.37%
Limit orders as percentage of total limit orders	16.02%
Other orders as percentage of total other orders	25.72%

4. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.88%
Limit orders as percentage of total limit orders	19.34%
Other orders as percentage of total other orders	3.51%

5. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.64%
Limit orders as percentage of total limit orders	2.21%
Other orders as percentage of total other orders	0.00%

6. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.30%
Limit orders as percentage of total limit orders	7.18%
Other orders as percentage of total other orders	0.00%

7. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.83%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Domestic Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.12%
Limit orders as percentage of total limit orders	2.21%
Other orders as percentage of total other orders	0.00%

9. BATS Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.21%
Other orders as percentage of total other orders	0.00%

10. The Chicago Board Options Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.12%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	52.36%
Limit orders as percentage of total non-directed orders	47.64%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	59.66%
2. NYSE Arca Options	10.94%
3. The International Securities Exchange	9.66%
4. NASDAQ OMX PHLX	8.80%
5. NYSE Amex Options	7.51%
6. The Boston Option Exchange	2.36%
7. Nasdaq Execution Services, L.L.C.	0.64%
8. Other	0.43%

Information Concerning Significant Venues :

1. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	78.28%
Limit orders as percentage of total limit orders	39.19%
Other orders as percentage of total other orders	0.00%

2. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.05%
Limit orders as percentage of total limit orders	20.72%
Other orders as percentage of total other orders	0.00%

3. The International Securities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.87%
Limit orders as percentage of total limit orders	17.12%
Other orders as percentage of total other orders	0.00%

4. NASDAQ OMX PHLX

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.56%
Limit orders as percentage of total limit orders	11.26%
Other orders as percentage of total other orders	0.00%

5. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.33%
Limit orders as percentage of total limit orders	9.91%
Other orders as percentage of total other orders	0.00%

6. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.28%
Limit orders as percentage of total limit orders	1.35%
Other orders as percentage of total other orders	0.00%

7. Nasdaq Execution Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.82%
Limit orders as percentage of total limit orders	0.45%
Other orders as percentage of total other orders	0.00%

8. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.82%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%