

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**TR1  
TRIAD ADVISORS INC.  
5185 PEACHTREE PARKWAY  
SUITE 280  
NORCROSS GA 30092**

**SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2009**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	81.56%
Limit orders as percentage of total non-directed orders	9.82%
Other orders as percentage of total non-directed orders	8.62%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Knight Capital Markets, L.L.C.	34.13%
2. Automated Trading Desk Financial Services, L.L.C.	30.14%
3. Citadel Derivatives Group LLC	14.66%
4. UBS Securities, L.L.C.	9.47%
5. National Financial Services, L.L.C.	7.74%
6. Citigroup Global Markets, Inc.	2.48%
7. The Chicago Board Options Stock Exchange	0.48%
8. New York Stock Exchange	0.43%
9. Goldman, Sachs & Co.	0.14%
10. AlterNet Securities Inc.	0.09%

**Information Concerning Significant Venues :**

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	32.87%
Limit orders as percentage of total limit orders	35.44%
Other orders as percentage of total other orders	44.56%

2. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	29.41%
Limit orders as percentage of total limit orders	25.29%
Other orders as percentage of total other orders	42.55%

3. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	14.75%
	Limit orders as percentage of total limit orders	22.06%
	Other orders as percentage of total other orders	5.36%
4. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	9.63%
	Limit orders as percentage of total limit orders	10.00%
	Other orders as percentage of total other orders	7.37%
5. National Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	9.19%
	Limit orders as percentage of total limit orders	2.35%
	Other orders as percentage of total other orders	0.17%
6. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.05%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
7. The Chicago Board Options Stock Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.58%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
8. New York Stock Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.07%
	Limit orders as percentage of total limit orders	3.82%
	Other orders as percentage of total other orders	0.00%
9. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.18%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
10. AlterNet Securities Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.11%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	76.43%
Limit orders as percentage of total non-directed orders	14.45%
Other orders as percentage of total non-directed orders	9.13%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	30.15%
2. Automated Trading Desk Financial Services, L.L.C.	20.72%
3. Citadel Derivatives Group LLC	20.20%
4. UBS Securities, L.L.C.	18.04%
5. National Financial Services, L.L.C.	4.67%
6. Citigroup Global Markets, Inc.	4.63%
7. The Chicago Board Options Stock Exchange	0.74%
8. Direct Edge ECN L.L.C.	0.43%
9. BATS Trading, Inc.	0.22%
10. AlterNet Securities Inc.	0.04%

### Information Concerning Significant Venues :

#### 1. Knight Equity Markets, L.P.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.67%
Limit orders as percentage of total limit orders	28.74%
Other orders as percentage of total other orders	53.08%

#### 2. Automated Trading Desk Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.68%
Limit orders as percentage of total limit orders	14.97%
Other orders as percentage of total other orders	21.80%

#### 3. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.39%
Limit orders as percentage of total limit orders	26.05%
Other orders as percentage of total other orders	0.95%

#### 4. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.86%
Limit orders as percentage of total limit orders	20.36%
Other orders as percentage of total other orders	24.17%

#### 5. National Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.15%
Limit orders as percentage of total limit orders	5.09%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.77%
Limit orders as percentage of total limit orders	1.50%
Other orders as percentage of total other orders	0.00%

7. The Chicago Board Options Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.96%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.99%
Other orders as percentage of total other orders	0.00%

9. BATS Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.28%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.06%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE Amex or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	82.03%
Limit orders as percentage of total non-directed orders	6.84%
Other orders as percentage of total non-directed orders	11.13%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	48.96%
2. Automated Trading Desk Financial Services, L.L.C.	17.44%
3. UBS Securities, L.L.C.	14.57%
4. Citadel Derivatives Group LLC	14.00%
5. National Financial Services, L.L.C.	4.28%
6. The Chicago Board Options Stock Exchange	0.31%
7. Domestic Securities Inc.	0.31%
8. NYSE Arca	0.09%
9. Other	0.04%

### Information Concerning Significant Venues :

#### 1. Knight Capital Markets, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	47.26%
Limit orders as percentage of total limit orders	45.16%
Other orders as percentage of total other orders	63.89%

#### 2. Automated Trading Desk Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.85%
Limit orders as percentage of total limit orders	16.77%
Other orders as percentage of total other orders	22.22%

#### 3. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.45%
Limit orders as percentage of total limit orders	13.55%
Other orders as percentage of total other orders	8.73%

#### 4. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.59%
Limit orders as percentage of total limit orders	21.29%
Other orders as percentage of total other orders	5.16%

#### 5. National Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.01%
Limit orders as percentage of total limit orders	2.58%
Other orders as percentage of total other orders	0.00%

6. The Chicago Board Options Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.38%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Domestic Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.32%
Limit orders as percentage of total limit orders	0.65%
Other orders as percentage of total other orders	0.00%

8. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.11%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.05%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	46.13%
Limit orders as percentage of total non-directed orders	52.32%
Other orders as percentage of total non-directed orders	1.55%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	46.99%
2. The International Securities Exchange	21.34%
3. NASDAQ OMX PHLX	15.15%
4. NYSE Arca Options	9.81%
5. The Boston Option Exchange	2.58%
6. NYSE Amex Options	2.24%
7. Nasdaq Execution Services, L.L.C.	1.38%
8. Other	0.34%
9. National Financial Services, L.L.C.	0.17%

### Information Concerning Significant Venues :

1. The Chicago Board Options Exchange	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	67.16%
Limit orders as percentage of total limit orders	29.28%
Other orders as percentage of total other orders	44.44%
2. The International Securities Exchange	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	12.69%
Limit orders as percentage of total limit orders	29.61%
Other orders as percentage of total other orders	0.00%
3. NASDAQ OMX PHLX	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	8.21%
Limit orders as percentage of total limit orders	21.05%
Other orders as percentage of total other orders	22.22%
4. NYSE Arca Options	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	6.34%
Limit orders as percentage of total limit orders	13.16%
Other orders as percentage of total other orders	0.00%
5. The Boston Option Exchange	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	1.12%
Limit orders as percentage of total limit orders	3.95%
Other orders as percentage of total other orders	0.00%

6. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.61%
Limit orders as percentage of total limit orders	1.97%
Other orders as percentage of total other orders	0.00%

7. Nasdaq Execution Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.49%
Limit orders as percentage of total limit orders	0.66%
Other orders as percentage of total other orders	22.22%

8. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.37%
Limit orders as percentage of total limit orders	0.33%
Other orders as percentage of total other orders	0.00%

9. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	11.11%