

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**TR1  
TRIAD ADVISORS INC.  
5185 PEACHTREE PARKWAY  
SUITE 280  
NORCROSS GA 30092**

**SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2009**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	83.69%
Limit orders as percentage of total non-directed orders	12.21%
Other orders as percentage of total non-directed orders	4.11%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Automated Trading Desk Financial Services, L.L.C.	35.17%
2. Knight Capital Markets, L.L.C.	34.84%
3. Citadel Derivatives Group LLC	12.94%
4. UBS Securities, L.L.C.	7.63%
5. National Financial Services, L.L.C.	6.03%
6. New York Stock Exchange	2.35%
7. Citigroup Global Markets, Inc.	0.34%
8. Pershing, L.L.C.	0.26%
9. UBS Securities LLC	0.15%
10. The Chicago Board Options Stock Exchange	0.13%

**Information Concerning Significant Venues :**

1. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	36.07%
Limit orders as percentage of total limit orders	27.10%
Other orders as percentage of total other orders	40.87%

2. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	35.11%
Limit orders as percentage of total limit orders	26.17%
Other orders as percentage of total other orders	55.16%

3. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	11.55%
	Limit orders as percentage of total limit orders	26.17%
	Other orders as percentage of total other orders	1.98%
4. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	7.69%
	Limit orders as percentage of total limit orders	9.08%
	Other orders as percentage of total other orders	1.98%
5. National Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	7.11%
	Limit orders as percentage of total limit orders	0.67%
	Other orders as percentage of total other orders	0.00%
6. New York Stock Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.23%
	Limit orders as percentage of total limit orders	10.81%
	Other orders as percentage of total other orders	0.00%
7. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.41%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
8. Pershing, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.31%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. UBS Securities LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.18%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
10. The Chicago Board Options Stock Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.16%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	81.17%
Limit orders as percentage of total non-directed orders	16.65%
Other orders as percentage of total non-directed orders	2.17%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	28.08%
2. Citadel Derivatives Group LLC	24.56%
3. Automated Trading Desk Financial Services, L.L.C.	22.29%
4. UBS Securities, L.L.C.	16.51%
5. National Financial Services, L.L.C.	4.72%
6. Citigroup Global Markets, Inc.	2.64%
7. The Chicago Board Options Stock Exchange	0.60%
8. Citigroup Global Markets, Inc.	0.23%
9. Pershing, L.L.C.	0.19%
10. Natixis Bleichroeder, Inc.	0.05%

### Information Concerning Significant Venues :

#### 1. Knight Equity Markets, L.P.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.95%
Limit orders as percentage of total limit orders	29.17%
Other orders as percentage of total other orders	61.70%

#### 2. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.65%
Limit orders as percentage of total limit orders	31.94%
Other orders as percentage of total other orders	2.13%

#### 3. Automated Trading Desk Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.39%
Limit orders as percentage of total limit orders	12.50%
Other orders as percentage of total other orders	19.15%

#### 4. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.50%
Limit orders as percentage of total limit orders	21.39%
Other orders as percentage of total other orders	17.02%

#### 5. National Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.53%
Limit orders as percentage of total limit orders	1.39%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.74%
Limit orders as percentage of total limit orders	2.50%
Other orders as percentage of total other orders	0.00%

7. The Chicago Board Options Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.74%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.28%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. Pershing, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.23%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Natixis Bleichroeder, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.28%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE Amex or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	83.88%
Limit orders as percentage of total non-directed orders	6.99%
Other orders as percentage of total non-directed orders	9.13%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	49.11%
2. Citadel Derivatives Group LLC	21.08%
3. Automated Trading Desk Financial Services, L.L.C.	15.76%
4. UBS Securities, L.L.C.	13.53%
5. National Financial Services, L.L.C.	0.23%
6. Domestic Securities Inc.	0.16%
7. The Chicago Board Options Stock Exchange	0.07%
8. NYSE Arca	0.03%
9. Other	0.03%

### Information Concerning Significant Venues :

#### 1. Knight Capital Markets, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	52.92%
Limit orders as percentage of total limit orders	42.25%
Other orders as percentage of total other orders	19.42%

#### 2. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.82%
Limit orders as percentage of total limit orders	25.82%
Other orders as percentage of total other orders	1.44%

#### 3. Automated Trading Desk Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.35%
Limit orders as percentage of total limit orders	14.55%
Other orders as percentage of total other orders	75.54%

#### 4. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.32%
Limit orders as percentage of total limit orders	16.90%
Other orders as percentage of total other orders	3.60%

#### 5. National Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.27%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Domestic Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.20%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. The Chicago Board Options Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.08%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.47%
Other orders as percentage of total other orders	0.00%

9. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.04%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	37.73%
Limit orders as percentage of total non-directed orders	61.07%
Other orders as percentage of total non-directed orders	1.20%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	41.33%
2. The International Securities Exchange	19.20%
3. NASDAQ OMX PHLX	16.53%
4. NYSE Arca Options	14.40%
5. The Boston Option Exchange	5.33%
6. NYSE Amex Options	1.60%
7. Nasdaq Options Market	1.60%

### Information Concerning Significant Venues :

1. The Chicago Board Options Exchange	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	58.30%
Limit orders as percentage of total limit orders	31.66%
Other orders as percentage of total other orders	0.00%
2. The International Securities Exchange	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	10.25%
Limit orders as percentage of total limit orders	24.67%
Other orders as percentage of total other orders	22.22%
3. NASDAQ OMX PHLX	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	8.13%
Limit orders as percentage of total limit orders	20.52%
Other orders as percentage of total other orders	77.78%
4. NYSE Arca Options	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	10.95%
Limit orders as percentage of total limit orders	16.81%
Other orders as percentage of total other orders	0.00%
5. The Boston Option Exchange	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	8.13%
Limit orders as percentage of total limit orders	3.71%
Other orders as percentage of total other orders	0.00%

6. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.71%
Limit orders as percentage of total limit orders	2.18%
Other orders as percentage of total other orders	0.00%

7. Nasdaq Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.53%
Limit orders as percentage of total limit orders	0.44%
Other orders as percentage of total other orders	0.00%