

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**TR1  
TRIAD ADVISORS INC.  
5185 PEACHTREE PARKWAY  
SUITE 280  
NORCROSS GA 30092**

**SEC Rule 606 (formerly 11AC 1-6) Quarterly Report for the Quarter Ending March 31, 2007**

**Securities Listed on The New York Stock Exchange**

**Summary Statistics :**

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders   | 87.13%  |
| Limit orders as percentage of total non-directed orders    | 9.92%   |
| Other orders as percentage of total non-directed orders    | 2.95%   |

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

|  |        |
|--|--------|
| 1. Knight Capital Markets, L.L.C.                    | 23.72% |
| 2. National Financial Services Corporation           | 19.44% |
| 3. Madoff (Bernard L.) Investment Securities, L.L.C. | 15.59% |
| 4. The New York Stock Exchange                       | 15.11% |
| 5. The Chicago Stock Exchange                        | 8.96%  |
| 6. Automated Trading Desk Financial Services, L.L.C. | 7.02%  |
| 7. UBS Securities, L.L.C.                            | 6.04%  |
| 8. Citadel Derivatives Group, L.L.C.                 | 3.96%  |
| 9. UNKN  | 0.16%  |

**Information Concerning Significant Venues :**

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 22.78% |
| Limit orders as percentage of total limit orders   | 15.51% |
| Other orders as percentage of total other orders   | 79.04% |

2. National Financial Services Corporation

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 21.16% |
| Limit orders as percentage of total limit orders   | 10.16% |
| Other orders as percentage of total other orders   | 0.00%  |

|  |  |        |
|--|--|--------|
| 3. Madoff (Bernard L.) Investment Securities, L.L.C. |  |        |
|  | Types of Orders Routed to Venue :                  |        |
|  | Market orders as percentage of total market orders | 16.17% |
|  | Limit orders as percentage of total limit orders   | 15.15% |
|  | Other orders as percentage of total other orders   | 0.00%  |
| 4. The New York Stock Exchange                       |  |        |
|  | Types of Orders Routed to Venue :                  |        |
|  | Market orders as percentage of total market orders | 13.59% |
|  | Limit orders as percentage of total limit orders   | 32.80% |
|  | Other orders as percentage of total other orders   | 0.60%  |
| 5. The Chicago Stock Exchange                        |  |        |
|  | Types of Orders Routed to Venue :                  |        |
|  | Market orders as percentage of total market orders | 8.44%  |
|  | Limit orders as percentage of total limit orders   | 13.55% |
|  | Other orders as percentage of total other orders   | 8.98%  |
| 6. Automated Trading Desk Financial Services, L.L.C. |  |        |
|  | Types of Orders Routed to Venue :                  |        |
|  | Market orders as percentage of total market orders | 7.48%  |
|  | Limit orders as percentage of total limit orders   | 3.92%  |
|  | Other orders as percentage of total other orders   | 3.59%  |
| 7. UBS Securities, L.L.C.                            |  |        |
|  | Types of Orders Routed to Venue :                  |        |
|  | Market orders as percentage of total market orders | 6.02%  |
|  | Limit orders as percentage of total limit orders   | 6.42%  |
|  | Other orders as percentage of total other orders   | 5.39%  |
| 8. Citadel Derivatives Group, L.L.C.                 |  |        |
|  | Types of Orders Routed to Venue :                  |        |
|  | Market orders as percentage of total market orders | 4.18%  |
|  | Limit orders as percentage of total limit orders   | 2.50%  |
|  | Other orders as percentage of total other orders   | 2.40%  |
| 9. UNKN  |  |        |
|  | Types of Orders Routed to Venue :                  |        |
|  | Market orders as percentage of total market orders | 0.18%  |
|  | Limit orders as percentage of total limit orders   | 0.00%  |
|  | Other orders as percentage of total other orders   | 0.00%  |

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders   | 84.83%  |
| Limit orders as percentage of total non-directed orders    | 9.87%   |
| Other orders as percentage of total non-directed orders    | 5.30%   |

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |        |
|--|--------|
| 1. National Financial Services Corporation           | 48.97% |
| 2. Knight Equity Markets, L.P.                       | 21.70% |
| 3. UBS Securities, L.L.C.                            | 10.65% |
| 4. Citadel Derivatives Group, L.L.C.                 | 3.46%  |
| 5. Lehman Brothers, Inc.                             | 3.40%  |
| 6. Automated Trading Desk Financial Services, L.L.C. | 3.35%  |
| 7. Bear, Stearns & Co. Inc.                          | 2.45%  |
| 8. Knight Execution Partners, L.L.C.                 | 2.18%  |
| 9. Madoff (Bernard L.) Investment Securities, L.L.C. | 1.62%  |
| 10. Hudson Securities, Inc.                          | 1.17%  |

### Information Concerning Significant Venues :

#### 1. National Financial Services Corporation

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 50.36% |
| Limit orders as percentage of total limit orders   | 38.98% |
| Other orders as percentage of total other orders   | 45.26% |

#### 2. Knight Equity Markets, L.P.

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 20.25% |
| Limit orders as percentage of total limit orders   | 27.68% |
| Other orders as percentage of total other orders   | 33.68% |

#### 3. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 10.98% |
| Limit orders as percentage of total limit orders   | 7.91%  |
| Other orders as percentage of total other orders   | 10.53% |

#### 4. Citadel Derivatives Group, L.L.C.

##### Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 3.48% |
| Limit orders as percentage of total limit orders   | 2.82% |
| Other orders as percentage of total other orders   | 4.21% |

#### 5. Lehman Brothers, Inc.

##### Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 3.22% |
| Limit orders as percentage of total limit orders   | 6.78% |
| Other orders as percentage of total other orders   | 0.00% |

6. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 3.02% |
| Limit orders as percentage of total limit orders   | 4.52% |
| Other orders as percentage of total other orders   | 6.32% |

7. Bear, Stearns & Co. Inc.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 2.89% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 0.00% |

8. Knight Execution Partners, L.L.C.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 2.56% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 0.00% |

9. Madoff (Bernard L.) Investment Securities, L.L.C.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.91% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 0.00% |

10. Hudson Securities, Inc.

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.07%  |
| Limit orders as percentage of total limit orders   | 11.30% |
| Other orders as percentage of total other orders   | 0.00%  |

## Securities Listed on The American Stock Exchange or Regional Exchanges

### Summary Statistics :

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders   | 75.19%  |
| Limit orders as percentage of total non-directed orders    | 15.08%  |
| Other orders as percentage of total non-directed orders    | 9.73%   |

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |        |
|--|--------|
| 1. Knight Capital Markets, L.L.C.                    | 36.45% |
| 2. American Stock Exchange Clearing L.L.C./ASE       | 25.95% |
| 3. The Chicago Stock Exchange                        | 19.66% |
| 4. Citadel Derivatives Group, L.L.C.                 | 9.54%  |
| 5. National Financial Services Corporation           | 4.58%  |
| 6. Automated Trading Desk Financial Services, L.L.C. | 2.67%  |
| 7. UBS Securities, L.L.C.                            | 0.95%  |
| 8. UNKN  | 0.19%  |

### Information Concerning Significant Venues :

#### 1. Knight Capital Markets, L.L.C.

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 34.01% |
| Limit orders as percentage of total limit orders   | 12.66% |
| Other orders as percentage of total other orders   | 92.16% |

#### 2. American Stock Exchange Clearing L.L.C./ASE

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 26.65% |
| Limit orders as percentage of total limit orders   | 35.44% |
| Other orders as percentage of total other orders   | 5.88%  |

#### 3. The Chicago Stock Exchange

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 18.53% |
| Limit orders as percentage of total limit orders   | 36.71% |
| Other orders as percentage of total other orders   | 1.96%  |

#### 4. Citadel Derivatives Group, L.L.C.

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 10.66% |
| Limit orders as percentage of total limit orders   | 10.13% |
| Other orders as percentage of total other orders   | 0.00%  |

#### 5. National Financial Services Corporation

##### Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 6.09% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 0.00% |

6. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 2.54% |
| Limit orders as percentage of total limit orders   | 5.06% |
| Other orders as percentage of total other orders   | 0.00% |

7. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.27% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 0.00% |

8. UNKN

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.25% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 0.00% |

## Exchange - Listed Options

### Summary Statistics :

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders   | 59.63%  |
| Limit orders as percentage of total non-directed orders    | 40.37%  |
| Other orders as percentage of total non-directed orders    | 0.00%   |

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

|  |        |
|--|--------|
| 1. CBOE  | 23.85% |
| 2. PCSE  | 16.51% |
| 3. PHLX  | 16.51% |
| 4. American Stock Exchange Clearing L.L.C./ASE | 15.60% |
| 5. ISE   | 14.68% |
| 6. BOX   | 11.93% |
| 7. UBS Securities, L.L.C.                      | 0.92%  |

### Information Concerning Significant Venues :

#### 1. CBOE

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 7.69%  |
| Limit orders as percentage of total limit orders   | 47.73% |
| Other orders as percentage of total other orders   | 0.00%  |

#### 2. PCSE

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 24.62% |
| Limit orders as percentage of total limit orders   | 4.55%  |
| Other orders as percentage of total other orders   | 0.00%  |

#### 3. PHLX

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 23.08% |
| Limit orders as percentage of total limit orders   | 6.82%  |
| Other orders as percentage of total other orders   | 0.00%  |

#### 4. American Stock Exchange Clearing L.L.C./ASE

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 16.92% |
| Limit orders as percentage of total limit orders   | 13.64% |
| Other orders as percentage of total other orders   | 0.00%  |

#### 5. ISE

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 7.69%  |
| Limit orders as percentage of total limit orders   | 25.00% |
| Other orders as percentage of total other orders   | 0.00%  |

6. BOX

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 18.46% |
| Limit orders as percentage of total limit orders   | 2.27%  |
| Other orders as percentage of total other orders   | 0.00%  |

7. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.54% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 0.00% |