

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**TR1  
TRIAD ADVISORS INC.  
5185 PEACHTREE PARKWAY  
SUITE 280  
NORCROSS GA 30092**

**SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2008**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	83.09%
Limit orders as percentage of total non-directed orders	15.34%
Other orders as percentage of total non-directed orders	1.57%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Automated Trading Desk Financial Services, L.L.C.	34.80%
2. Knight Capital Markets, L.L.C.	29.54%
3. Citadel Derivatives Group LLC	15.97%
4. Madoff (Bernard L.) Investment Securities, L.L.C.	7.00%
5. UBS Securities, L.L.C.	6.41%
6. New York Stock Exchange LLC.	3.59%
7. National Financial Services Corporation	2.32%
8. Stock Clearing Corporation (TOD)	0.13%
9. Stock Clearing Corporation (LOC)	0.06%
10. OTC	0.06%

**Information Concerning Significant Venues :**

1. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	35.24%
Limit orders as percentage of total limit orders	33.18%
Other orders as percentage of total other orders	27.03%

2. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	28.52%
Limit orders as percentage of total limit orders	32.62%
Other orders as percentage of total other orders	53.15%

3. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	16.15%
	Limit orders as percentage of total limit orders	15.80%
	Other orders as percentage of total other orders	8.11%
4. Madoff (Bernard L.) Investment Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	7.74%
	Limit orders as percentage of total limit orders	3.70%
	Other orders as percentage of total other orders	0.00%
5. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	6.06%
	Limit orders as percentage of total limit orders	7.76%
	Other orders as percentage of total other orders	11.71%
6. New York Stock Exchange LLC.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.22%
	Limit orders as percentage of total limit orders	5.91%
	Other orders as percentage of total other orders	0.00%
7. National Financial Services Corporation		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.75%
	Limit orders as percentage of total limit orders	0.28%
	Other orders as percentage of total other orders	0.00%
8. Stock Clearing Corporation (TOD)		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.15%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. Stock Clearing Corporation (LOC)		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	0.37%
	Other orders as percentage of total other orders	0.00%
10. OTC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.07%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	77.84%
Limit orders as percentage of total non-directed orders	20.42%
Other orders as percentage of total non-directed orders	1.74%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Derivatives Group LLC	24.86%
2. Knight Equity Markets, L.P.	22.94%
3. Automated Trading Desk Financial Services, L.L.C.	19.94%
4. UBS Securities, L.L.C.	19.82%
5. Madoff (Bernard L.) Investment Securities, L.L.C.	6.13%
6. Citigroup Global Markets, Inc.	4.02%
7. National Financial Services Corporation	1.26%
8. Natixis Bleichroeder, Inc.	0.96%
9. Triad Advisors, Inc.	0.06%

### Information Concerning Significant Venues :

#### 1. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.62%
Limit orders as percentage of total limit orders	20.00%
Other orders as percentage of total other orders	3.45%

#### 2. Knight Equity Markets, L.P.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.60%
Limit orders as percentage of total limit orders	25.88%
Other orders as percentage of total other orders	48.28%

#### 3. Automated Trading Desk Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.60%
Limit orders as percentage of total limit orders	16.47%
Other orders as percentage of total other orders	31.03%

#### 4. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.83%
Limit orders as percentage of total limit orders	23.82%
Other orders as percentage of total other orders	17.24%

#### 5. Madoff (Bernard L.) Investment Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.63%
Limit orders as percentage of total limit orders	8.53%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.01%
Limit orders as percentage of total limit orders	4.41%
Other orders as percentage of total other orders	0.00%

7. National Financial Services Corporation

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.47%
Limit orders as percentage of total limit orders	0.59%
Other orders as percentage of total other orders	0.00%

8. Natixis Bleichroeder, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.16%
Limit orders as percentage of total limit orders	0.29%
Other orders as percentage of total other orders	0.00%

9. Triad Advisors, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.08%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

**Securities Listed on NYSE Alternext or Regional Exchanges**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	84.12%
Limit orders as percentage of total non-directed orders	10.97%
Other orders as percentage of total non-directed orders	4.91%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Knight Capital Markets, L.L.C.	30.65%
2. Automated Trading Desk Financial Services, L.L.C.	25.19%
3. Citadel Derivatives Group LLC	23.03%
4. UBS Securities, L.L.C.	9.91%
5. Madoff (Bernard L.) Investment Securities, L.L.C.	7.32%
6. Archipelago Trading Services, Inc.	2.88%
7. National Financial Services Corporation	0.30%
8. NYSE Alternext	0.25%
9. Domestic Securities Inc.	0.17%
10. NYSE ARCA	0.17%

**Information Concerning Significant Venues :**

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.06%
Limit orders as percentage of total limit orders	60.23%
Other orders as percentage of total other orders	60.34%

2. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.93%
Limit orders as percentage of total limit orders	5.41%
Other orders as percentage of total other orders	22.41%

3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.26%
Limit orders as percentage of total limit orders	18.53%
Other orders as percentage of total other orders	12.07%

4. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.87%
Limit orders as percentage of total limit orders	5.41%
Other orders as percentage of total other orders	3.45%

5. Madoff (Bernard L.) Investment Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.90%
Limit orders as percentage of total limit orders	6.18%
Other orders as percentage of total other orders	0.00%

6. Archipelago Trading Services, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.22%
Limit orders as percentage of total limit orders	1.54%
Other orders as percentage of total other orders	0.00%

7. National Financial Services Corporation

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.35%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. NYSE Alternext

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.20%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	1.72%

9. Domestic Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.05%
Limit orders as percentage of total limit orders	1.16%
Other orders as percentage of total other orders	0.00%

10. NYSE ARCA

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.54%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	20.35%
Limit orders as percentage of total non-directed orders	79.65%
Other orders as percentage of total non-directed orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	32.31%
2. The Philadelphia Stock Exchange	23.40%
3. The International Securitites Exchange	19.81%
4. NYSE ARCA	11.84%
5. Goldman, Sachs & Co.	8.11%
6. The Boston Option Exchange	2.93%
7. The American Stock Exchange	1.06%
8. Nasdaq Options Market	0.53%

### Information Concerning Significant Venues :

#### 1. The Chicago Board Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	28.76%
Limit orders as percentage of total limit orders	33.22%
Other orders as percentage of total other orders	0.00%

#### 2. The Philadelphia Stock Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.38%
Limit orders as percentage of total limit orders	25.71%
Other orders as percentage of total other orders	0.00%

#### 3. The International Securitites Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.57%
Limit orders as percentage of total limit orders	19.37%
Other orders as percentage of total other orders	0.00%

#### 4. NYSE ARCA

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.23%
Limit orders as percentage of total limit orders	13.52%
Other orders as percentage of total other orders	0.00%

#### 5. Goldman, Sachs & Co.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.88%
Limit orders as percentage of total limit orders	4.34%
Other orders as percentage of total other orders	0.00%

6. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.61%
Limit orders as percentage of total limit orders	3.01%
Other orders as percentage of total other orders	0.00%

7. The American Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.61%
Limit orders as percentage of total limit orders	0.67%
Other orders as percentage of total other orders	0.00%

8. Nasdaq Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.96%
Limit orders as percentage of total limit orders	0.17%
Other orders as percentage of total other orders	0.00%